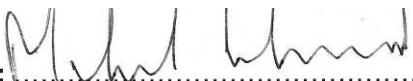


Corporate Management Assurance Statement 2012/13

1. A management assurance process has been in place at the Council since 2005/06. During 2012/13 this process was reviewed by the Corporate Governance Group and realigned with the Corporate Risk Register. The new process collates assurance provided by senior managers on the key controls in place to manage the authority's most significant (red) risks contained in the Corporate Risk Register.
2. This Corporate Assurance Statement summaries the results of the management assurance exercise. The 155 key controls identified to manage the 17 red corporate risks were assessed by managers and assurance provided on whether they were operating throughout the year and effective in either reducing or managing the risk. Evidence was provided to support the assurance given.
3. Assurance was provided that 141 (91%) of the identified key controls were operating, 42 (30%) of which were effective in reducing corporate risks and 101 (70%) were effective in managing the corporate risks. Of the 17 corporate risks in scope the risk rating of 6 improved during the year, 7 remained the same and 4 deteriorated.
4. A number of factors impacted on the 4 risks that deteriorated during the year including staffing levels, the change in the political make-up of the Council and resident satisfaction levels. However there is not always a direct correlation between controls being in place and operating and an improvement in the level of risk as factors external to the Council, over which it has limited control, may also have an impact on the level of risk e.g. the economic environment; government policy; legislation.

Sign-off

I confirm that the Corporate Management Assurance Statement is a fair reflection of the level of key controls in place to mitigate the Council's most significance risks during the financial year 2012/13 and is supported by individual self assessments provided by the Council's senior managers:

Signature: 
(Michael Lockwood)

Title: ...Chief Executive.....

Date: ..06/09/13

CORPORATE MANAGEMENT ASSURANCE STATEMENT 2012/13

Corporate Risk No	Description	No Key Controls	No Operating	No Not Operating / Limited assurance	Effective in managing or reducing risk	No of further controls planned	Risk Rating Q1	Risk Rating Q4	Improved / Same / Deteriorated
1	Failure to deliver warmer homes	5	5	0	Reducing	3	C2	D3	I
3	Failure to deliver a balanced budget and MTFs for years 13/14 & 14/15	13	13	0	Reducing	0	C2	C3	I
5	There is a successful legal challenge to a Council decision	11	9	2 (L)	Managing	0	C2	C2	S
6	Deteriorating industrial relations	7	7	0	Managing	2	B2	B2	S
7	Failure to achieve a culture in the organisation that supports the council's vision and priorities	8	8	0	Reducing	3	B2	C2	I
8	Ineffective partnership working in local health reorganisation impacts on vision	5	3	2 (L)	Managing	0	B2	B2	S
11	The council inadequately prepares for welfare reform	14	14	0	Managing	1	C2	C2	S
12	There is a high-profile service failure in relation to a vulnerable client of person (childrens)	10	10	0	Managing	3	C1	C1	S
12	There is a high-profile service failure in relation to a vulnerable client or person (chw – adults)	6	6	0	Reducing	2	C1	D1	I
13	Business continuity/disaster recovery not resilient	8	6	1 1(L)	Managing	4	D1	C1	D
14	Inadequate emergency planning (ep) in place to deal with major incidents	10	10	0	Managing	2	D1	C1	D
15	Insufficient school places (primary and special) for projected numbers	13	13	0	Managing	2	C2	C2	S
16	There is damage to the reputation of the council and failure to involve and engage with the community/service users	12	11	1 (L)	Managing	1	D2	B2	D
17	The transformation programme is not successfully delivered and fails to reduce medium to long term financial pressures	8	7	1 (L)	Reducing	1	B2	C2	I
18	Inadequate H&S management system to ensure appropriate asset management and to meet duty of care obligations	10	9	1	Managing	8	C2	C2	S
19	Political interface not optimised	7	7	0	Managing	1	D2	C2	D
20	Lack of commitment to climate change and to reducing carbon emissions	8	3	4 1 (L)	Reducing	0	C2	E3	I
	TOTALS	155	141	6 8 (L)		33			